The Metabolic Syndrome


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Background: The metabolic syndrome is an important cluster of coronary heart disease risk factors with common insulin resistance. The extent to which the metabolic syndrome is associated with demographic and potentially modifiable lifestyle factors in the US population is unknown.

Methods: Metabolic syndrome–associated factors and prevalence, as defined by Adult Treatment Panel III criteria, were evaluated in a representative US sample of 3305 black, 3477 Mexican American, and 5581 white men and nonpregnant or lactating women aged 20 years and older who participated in the cross-sectional Third National Health and Nutrition Examination Survey.

Results: The metabolic syndrome was present in 22.8% and 22.6% of US men and women, respectively ($P = .86$). The age-specific prevalence was highest in Mexican Americans and lowest in blacks of both sexes. Ethnic differences persisted even after adjusting for age, body mass index, and socioeconomic status. The metabolic syndrome was present in 4.6%, 22.4%, and 59.6% of normal-weight, overweight, and obese men, respectively, and a similar distribution was observed in women. Older age, postmenopausal status, Mexican American ethnicity, higher body mass index, current smoking, low household income, high carbohydrate intake, no alcohol consumption, and physical inactivity were associated with increased odds of the metabolic syndrome.

Conclusions: The metabolic syndrome is present in more than 20% of the US adult population; varies substantially by ethnicity even after adjusting for body mass index, age, socioeconomic status, and other predictor variables; and is associated with several potentially modifiable lifestyle factors. Identification and clinical management of this high-risk group is an important aspect of coronary heart disease prevention.

Arch Intern Med. 2003;163:427-436
definition. In an initial study, Ford et al\(^1\) reported unadjusted and age-adjusted metabolic syndrome prevalences of 21.8% and 23.7%, respectively, for the US population. The objectives of this study are to examine the prevalence of the metabolic syndrome by ethnicity, age, body mass index (BMI) (calculated as weight in kilograms divided by the square of height in meters), socioeconomic status, and lifestyle factors.

**METHODS**

**STUDY POPULATION**

The Third National Health and Nutrition Examination Survey (NHANES III) was conducted in two 3-year phases (October 18, 1988, to October 24, 1991, and September 20, 1991, to October 15, 1994) by the National Center for Health Statistics to assess the health and nutritional status of the noninstitutionalized US population. Conducted at 89 locations, the study used stratified, multistage probability cluster sampling, similar to that used in the 2 previous surveys. Weights indicating the probability of being sampled were assigned to each respondent, enabling results to represent the entire noninstitutionalized US population. The design of NHANES III is described in detail elsewhere.\(^2\)

The NHANES III staff conducted surveys in households, administering questionnaires to families, adults, and children. Household surveys included demographic, socioeconomic, dietary, and health history questions. Standardized medical examinations were completed at mobile medical centers and included measurements related to metabolic syndrome criteria, including blood pressure, plasma lipid and glucose levels, and waist circumference. All survey instruments were available in English and Spanish.

The sample included non-Hispanic blacks (blacks), Mexican Americans, non-Hispanic whites (whites), and the “other” ethnicity category, aged 20 years or older at the time of NHANES III evaluation for whom anthropometric variables (ie, weight, height, and waist circumference), blood pressure, blood and blood studies (ie, glucose, total cholesterol, HDL cholesterol, and triglyceride levels) had been measured. Of 14852 individuals, we excluded 1756 who consumed food or beverages other than water within 6 hours of venipuncture. In addition, we also excluded 235 women who were pregnant or lactating at baseline. Of the remaining 12861 individuals, there were 3305 blacks (1494 men and 1811 women), 3477 Mexican Americans (1811 men and 1666 women), 5581 whites (2626 men and 2955 women), and 498 classified as other ethnicities (214 men and 284 women). A total of 5964 individuals aged 20 years or older in NHANES III were not included because of missing anthropometric measurements or blood studies and because they were not fasting. These 5964 individuals had a mean age similar to that of the 12861 individuals who had the required anthropometric measurements and blood studies available and who had not consumed food or beverages for at least 6 hours before venipuncture (men: 43.9 vs 43.0 years; P = .12; women: 46.2 vs 46.3 years; P = .80).

**METABOLIC SYNDROME CRITERIA**

The ATP III clinical definition of the metabolic syndrome\(^10\) requires the presence of 3 or more of the following: (1) abdominal obesity (waist circumference \(>102\) cm in men and \(>88\) cm in women); (2) a high triglyceride level (\(\geq 150\) mg/dL \(\geq 1.69\) mmol/L); (3) a low HDL cholesterol level (<40 mg/dL \(< 1.03\) mmol/L) for men and <50 mg/dL \(< 1.29\) mmol/L for women); (4) high blood pressure (systolic \(\geq 130\) mm Hg or dia-stolic \(\geq 85\) mm Hg); and (5) a high fasting plasma glucose concentration (\(\geq 110\) mg/dL). Individuals met the criteria for high blood pressure or high fasting glucose concentration if they were currently using blood pressure medications or oral hypoglycemic diabetes mellitus control. Individuals with a previous physician diagnosis of hypertension or diabetes mellitus who did not report medication use were not allocated to the metabolic syndrome group.

**VARIABLE DEFINITION**

Normal weight, overweight, and obesity were defined as a BMI less than 25, 25 to 29.9, and 30 or higher, respectively. Education level was divided into 4 categories: less than 8 years, 8 to 12 years, greater than 12 years, and unknown. Economic status was divided into 4 categories according to the participant’s household income for the previous year: \$15000 or less, \$15001 to \$25000, greater than \$25000, and unknown. Smoking was categorized as current, past, and never. Past smokers were those who reported that they had smoked at least 100 cigarettes during their lifetime but who did not currently smoke cigarettes. Drinking was categorized as heavy, moderate, never, and unknown. Heavy drinkers were defined as those who ever drank 5 or more alcoholic beverages per day or who drank beer, wine, or hard liquor 1 time per day during the past month. Moderate drinkers had an alcoholic beverage (ie, beer, wine, or hard liquor) less than once per day during the past month. Never drinkers were those who did not drink beer, wine, or hard liquor during the past month. Physical activity level was defined based on the participant’s physical activity density rating scores obtained from participating in one of the following activities during the past month: walking, jogging or running, bicycle riding, swimming, lifting weights, or doing aerobics or aerobic dancing, other dancing, calisthenics, or garden or yard work. Participants in the physically inactive category included those with a total density rating score of 3.5 or less. The point at which the total density rating score equals 3.5 corresponds to approximately the 15th and 25th percentile in the male and female study populations, respectively. Earlier studies\(^13,14\) hypothesize a link between dietary composition and metabolic syndrome risk, particularly carbohydrate as an energy source. We selected carbohydrate intake, expressed as a percentage of total kilocalories, as one relevant measure of dietary composition. The percentage of total caloric intake from carbohydrates was evaluated by categorizing intake as high (>60%), middle (40%-60%), and low (<40%). Menopausal status was defined according to self-reported cessation of menstruation at interview.

**STATISTICAL METHODS**

Sex- and ethnic-specific prevalence rates of the metabolic syndrome were calculated for black, Mexican American, and white participants. Other ethnic groups were included when calculating the prevalence of the metabolic syndrome in the total US adult population. The metabolic syndrome age- and BMI-specific prevalence rates and 95% confidence intervals were also computed. Graphical presentation of prevalence rates for the metabolic syndrome are provided in 10-year increments. The term *overall abnormalities* is defined as the frequency in participants of a metabolic syndrome risk factor regardless of whether they also had other risk factors. The term *isolated abnormalities* is defined as the frequency in participants of only 1 risk factor for the metabolic syndrome. The frequencies of overall and isolated components of the metabolic syndrome were calculated for participants in 3 categorical age groups: young (20-34 years), middle aged (35-64 years), and old (\(\geq 65\) years).
The adjusted Wald χ² test was used to evaluate the statistical significance of the prevalence rates of the metabolic syndrome and the frequencies of overall abnormalities among blacks, Mexican Americans, and whites, with Bonferroni adjustment for multiple comparisons.

Multiple logistic regression analysis was used for men and women to estimate the odds ratios (ORs) of the metabolic syndrome by age, ethnicity, BMI, smoking and drinking habits, carbohydrate intake, physical activity status, education and household income levels, and menopausal status. The regression model was used to test the interaction between BMI and sex as an independent variable and with the presence of the metabolic syndrome as a dependent variable.

All analyses incorporated sampling weights to produce nationally representative estimates. We used statistical software (Stata, version 7.0 for Windows; Stata Corp, College Station, Tex) to calculate weighted means, percentages, ORs, and SEs to adjust for the complex NHANES III sampling design. Statistical significance was set at P < .05 unless otherwise indicated.

### RESULTS

#### OVERALL PREVALENCE

The anthropometric and sociodemographic characteristics of the participants are summarized in Table 1 and Table 2. The overall percentage of the metabolic syndrome in US adults, including blacks, Mexican Americans, whites, and others, was 22.8% for men and 22.6% for women as defined by the ATP III guidelines (P = .86).

#### ETHNIC-SPECIFIC PREVALENCE

The percentage of participants with the metabolic syndrome was 13.9%, 20.8%, and 24.3%, for black, Mexican American, and white men, respectively. The percentage of men with the metabolic syndrome was higher in Mexican American and white men than in black men (P < .001 and P = .006, respectively; statistical significance set at P < .017); the difference between Mexican American and white men was not statistically significant (P = .06; statistical significance set at P < .017).

The percentage of black and white women with the metabolic syndrome was 20.9% and 22.9%, respectively, and there was no significant between-ethnic group difference (P = .10; statistical significance set at P < .017). The percentage of Mexican American women with the metabolic syndrome was significantly higher, 27.2%, than that of black and white women (P < .001 and P = .002, respectively; statistical significance set at P < .017).

#### AGE-SPECIFIC PREVALENCE

The prevalence of the metabolic syndrome by 10-year age groups is presented in Figure 1. Mexican American men showed the highest prevalence of the metabolic syndrome, followed by white men and then black men. Compared with that for black men, the prevalence of the metabolic syndrome for Mexican American men was significantly higher at 40, 50, 60, and 80 years or older, whereas white men showed a significantly higher prevalence at 40, 50, 70, and 80 years or older (P < .017). There were no statistically significant differences in the prevalence of the metabolic syndrome between Mexican American and white men at any age group.

The prevalence of the metabolic syndrome for Mexican American women was highest among the 3 ethnic groups, followed by black women and then white women. Mexican American women showed a significantly higher prevalence for the 10-year age increments between 30 and 60 years compared with white women and at age 30 years compared with black women (P < .017) (Figure 1). There were no statistically significant differences in the prevalence of the metabolic syndrome between black and white women at any age group.

In both sexes, the prevalence of the metabolic syndrome increased steeply after the third decade and reached a peak in men aged 50 to 70 years and in women aged 60 to 80 years.

#### BMI-SPECIFIC PREVALENCE

The association between the prevalence of the metabolic syndrome and BMI, in increments of 2, is presented in Figure 2. A steep rise in the prevalence of the metabolic syndrome is observed in overweight (ie, BMI ≥25 and <30) men and women. Overall, 4.6%, 22.4%, and 59.6% of normal-weight, overweight, and obese men, respectively, met the metabolic syndrome diagnostic criteria. Similarly, in women, the corresponding prevalence rates were 6.2%, 28.1%, and 50.0%, respectively.

### Table 1. Anthropometric Characteristics of the Study Sample

<table>
<thead>
<tr>
<th></th>
<th>Black (n = 1494)</th>
<th>Mexican American (n = 1811)</th>
<th>White (n = 2626)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Age, y</td>
<td>40.9 (40.2-41.6)</td>
<td>36.6 (35.9-37.3)</td>
<td>45.0 (43.9-46.1)</td>
</tr>
<tr>
<td>Height, cm</td>
<td>176.4 (176.0-176.7)</td>
<td>169.9 (169.3-170.5)</td>
<td>176.4 (176.0-176.8)</td>
</tr>
<tr>
<td>Weight, kg</td>
<td>83.2 (82.2-84.2)</td>
<td>77.6 (76.6-78.7)</td>
<td>83.3 (82.3-84.2)</td>
</tr>
<tr>
<td>BMI</td>
<td>26.7 (26.4-27.0)</td>
<td>26.8 (26.6-27.1)</td>
<td>26.7 (26.5-26.9)</td>
</tr>
<tr>
<td>WC, cm</td>
<td>92.2 (91.4-93.0)</td>
<td>93.0 (92.9-94.3)</td>
<td>96.5 (95.8-97.2)</td>
</tr>
</tbody>
</table>

Abbreviations: BMI, body mass index (calculated as weight in kilograms divided by the square of height in meters); WC, waist circumference.

*Data are given as mean (95% confidence interval), except where indicated otherwise.
The overall relative frequency of each component of the metabolic syndrome is given in Table 3 for men and in Table 4 for women. Although the frequencies of abnormal components were highly variable, several patterns are evident. Black men had a significantly higher frequency of high blood pressure (35- to 64-year age group) but lower frequencies of large waist (35- to 64-year age group) compared with the other 2 ethnic groups. Mexican American women had a significantly higher frequency of elevated triglyceride levels in the young and middle age groups whereas white women had a significantly lower frequency of large waist circumference in the young age group compared with the other ethnic groups. Black women had a significantly higher frequency of high blood pressure, compared with the other 2 ethnic groups. Mexican American showed a significantly lower OR for the metabolic syndrome compared with white men and women. Mexican Americans showed a significantly higher OR only in women. Significantly higher ORs were present in the 35- to 64-year and 65 years and older age groups compared with the 20- to 34-year age group in men and women as derived from model 1 with the same covariates. The ORs sharply increased to 25.2 and 67.7 for men and 14.0 and 34.5 for women when BMI was 30 to 34.9 and 35 or greater, respectively. After adjusting for age in model 2, BMI, lifestyle-related factors, and socioeconomic status, blacks still showed a significantly lower OR for the metabolic syndrome compared with white men and women.

**MUTIPLE LOGISTIC REGRESSION MODELS**

Two multiple logistic regression models with the same covariates, except for age, are given in Table 5. Age in model 1 was divided into 3 categories: young, middle aged, and old. Age in model 2 was considered as a continuous variable. After adjusting for age in model 2, BMI, lifestyle-related factors, and socioeconomic status, blacks still showed a significantly lower OR for the metabolic syndrome compared with white men and women. Mexican Americans showed a significantly higher OR only in women. Significantly higher ORs were present in the 35- to 64-year and 65 years and older age groups compared with the 20- to 34-year age group in men and women as derived from model 1 with the same covariates. The ORs were 2.8 and 2.4 in the 35- to 64-year age group and 5.8 and 4.9 in those 65 years and older in men and women, respectively.

The ORs for the metabolic syndrome in the overweight group relative to the normal-weight group were 5.2 for men and 5.4 for women. The ORs sharply increased to 23.2 and 67.7 for men and 14.0 and 34.5 for women when BMI was 30 to 34.9 and 35 or greater, re-
respectively. When both sexes are modeled together using multiple logistic regression, the ORs for the interaction of BMI and sex were significant at BMI 30 to 34.9 ($P < .001$) and 35 or greater ($P < .01$).

Currently smoking men and women were at significantly higher risk of having the metabolic syndrome. In men, the ORs were significantly higher in the high carbohydrate intake and physical inactivity groups. In women, significantly higher ORs were observed in previous smokers, nondrinkers, and those with a low household income or who were postmenopausal. Women who were heavy alcohol consumers showed a significantly lower OR than women in the slight or moderate alcohol-consuming group.

**COMMENT**

Coronary heart disease remains the leading cause of mortality in the United States, accounting for more than 460,000 deaths in 2000. The primary target of CHD prevention, according to ATP III guidelines, is identification and appropriate treatment of patients with elevated LDL cholesterol levels. A secondary target, the metabolic syndrome, has been recognized for several decades but only recently was provided with consensus-generated diagnostic criteria by the ATP III. These criteria provided us with a framework for evaluating the main features of the metabolic syndrome in the US adult noninstitutionalized civilian population. Our findings, supporting and extending the initial findings of Ford et al., suggest that the metabolic syndrome is widespread among US adults; that prevalence rates are highly variable among ethnic, age, and BMI groups; and that lifestyle factors such as smoking, physical inactivity, and percentage of dietary caloric intake as carbohydrate are linked with the presence of the metabolic syndrome. These observations provide a foundation for CHD prevention initiatives and also raise important questions surrounding the applicability of the metabolic syndrome diagnostic criteria.

**PREVALENCE OF THE METABOLIC SYNDROME**

The present study results, based on NHANES III, indicate that approximately one fourth of US adults 20 years or older meet the diagnostic criteria for the metabolic syndrome. Prevalence rates were similar in men and women, with relative risk elevated in postmenopausal vs premenopausal women. Our results extend those of earlier studies, based on variable criteria, reporting metabolic syndrome prevalence rates ranging from 2.4% to 35.3%.

**Ethnicity**

Our findings suggest that metabolic syndrome prevalence rates vary among ethnic groups, ranging from a low of 13.9% in black men to a high of 27.2% in Mexican...
American women. These ethnic differences persisted even after adjusting for contributing factors such as age, BMI, smoking and drinking habits, socioeconomic status, physical inactivity, and menopausal status among women. Our findings are consistent with those of earlier studies indicating that compared with whites, Mexican Americans are more prone to develop hyperinsulinemia, insulin resistance, and an unfavorable distribution of body fat, which are the central features of the metabolic syndrome.22,23

Blacks are more insulin resistant than whites at a similar degree of adiposity.22-25 Blacks also have the high-

### Table 3. Prevalence of Each Metabolic Syndrome Component in Men*

<table>
<thead>
<tr>
<th>Age, y</th>
<th>20-34</th>
<th>35-64</th>
<th>≥65</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Black (n = 551)</td>
<td>Mexican American (n = 759)</td>
<td>White (n = 535)</td>
</tr>
<tr>
<td>US population, in millions, No.</td>
<td>2.6</td>
<td>2.1</td>
<td>18.2</td>
</tr>
</tbody>
</table>

**Overall Abnormality, %**

<table>
<thead>
<tr>
<th></th>
<th>20-34</th>
<th>35-64</th>
<th>≥65</th>
</tr>
</thead>
<tbody>
<tr>
<td>Large waist</td>
<td>15.8</td>
<td>12.1</td>
<td>23.5</td>
</tr>
<tr>
<td>High TG level</td>
<td>15.4</td>
<td>29.8</td>
<td>35.3</td>
</tr>
<tr>
<td>Low HDL cholesterol level</td>
<td>22.2</td>
<td>29.1</td>
<td>30.9</td>
</tr>
<tr>
<td>High BP</td>
<td>32.2</td>
<td>17.4</td>
<td>17.1</td>
</tr>
<tr>
<td>High glucose level</td>
<td>3.2</td>
<td>4.2</td>
<td>3.2</td>
</tr>
</tbody>
</table>

**Isolated Abnormality, %**

<table>
<thead>
<tr>
<th></th>
<th>20-34</th>
<th>35-64</th>
<th>≥65</th>
</tr>
</thead>
<tbody>
<tr>
<td>Large waist</td>
<td>3.8</td>
<td>1.8</td>
<td>3.2</td>
</tr>
<tr>
<td>High TG level</td>
<td>3.4</td>
<td>8.3</td>
<td>5.0</td>
</tr>
<tr>
<td>Low HDL cholesterol level</td>
<td>7.9</td>
<td>9.5</td>
<td>11.9</td>
</tr>
<tr>
<td>High BP</td>
<td>9.8</td>
<td>6.1</td>
<td>6.3</td>
</tr>
<tr>
<td>High glucose level</td>
<td>0.5</td>
<td>0.9</td>
<td>0.6</td>
</tr>
</tbody>
</table>

Abbreviations: BP, blood pressure; HDL, high-density lipoprotein; TG, serum triglyceride.

*Statistically significant differences among black, Mexican American, and white groups were tested using the adjusted Wald test; *P/2 was corrected by Bonferroni adjustment in multiple comparisons (p/q, q = 15, P/2 ≤ .003).

†Statistically significant difference between the black and white groups.

‡Statistically significant difference between the Mexican American and black groups.

§Statistically significant difference between the Mexican American and white groups.

### Table 4. Prevalence of Each Metabolic Syndrome Component in Women*

<table>
<thead>
<tr>
<th>Age, y</th>
<th>20-34</th>
<th>35-64</th>
<th>≥65</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Black (n = 777)</td>
<td>Mexican American (n = 745)</td>
<td>White (n = 672)</td>
</tr>
<tr>
<td>US population, in millions, No.</td>
<td>3.4</td>
<td>1.6</td>
<td>17.8</td>
</tr>
</tbody>
</table>

**Overall Abnormality, %**

<table>
<thead>
<tr>
<th></th>
<th>20-34</th>
<th>35-64</th>
<th>≥65</th>
</tr>
</thead>
<tbody>
<tr>
<td>Large waist</td>
<td>40.7</td>
<td>39.2</td>
<td>23.6</td>
</tr>
<tr>
<td>High TG level</td>
<td>6.2</td>
<td>22.3</td>
<td>10.7</td>
</tr>
<tr>
<td>Low HDL cholesterol level</td>
<td>31.2</td>
<td>45.6</td>
<td>39.2</td>
</tr>
<tr>
<td>High BP</td>
<td>8.8</td>
<td>4.4</td>
<td>3.9</td>
</tr>
<tr>
<td>High glucose level</td>
<td>2.7</td>
<td>2.2</td>
<td>0.6</td>
</tr>
</tbody>
</table>

**Isolated Abnormality, %**

<table>
<thead>
<tr>
<th></th>
<th>20-34</th>
<th>35-64</th>
<th>≥65</th>
</tr>
</thead>
<tbody>
<tr>
<td>Large waist</td>
<td>18.6</td>
<td>11.3</td>
<td>6.1</td>
</tr>
<tr>
<td>High TG level</td>
<td>1.1</td>
<td>2.8</td>
<td>1.3</td>
</tr>
<tr>
<td>Low HDL cholesterol level</td>
<td>9.3</td>
<td>17.1</td>
<td>19.8</td>
</tr>
<tr>
<td>High BP</td>
<td>1.9</td>
<td>0.9</td>
<td>1.0</td>
</tr>
<tr>
<td>High glucose level</td>
<td>0.3</td>
<td>0.2</td>
<td>0.1</td>
</tr>
</tbody>
</table>

Abbreviations: BP, blood pressure; HDL, high-density lipoprotein; TG, serum triglyceride.

*Statistically significant differences among black, Mexican American, and white groups were tested using the adjusted Wald test; *P/2 was corrected by Bonferroni adjustment in multiple comparisons (p/q, q = 15, P/2 ≤ .003).

†Statistically significant difference between the black and white groups.

‡Statistically significant difference between the Mexican American and black groups.

§Statistically significant difference between the Mexican American and white groups.
est overall CHD mortality rate of any ethnic group, and black men have a 60% higher incidence of type 2 diabetes mellitus than white men. On the other hand, the prevalence of the metabolic syndrome as defined by ATP III criteria in this study was lowest in black men. This lower prevalence in black men was accompanied by significantly lower frequencies of large waist, high triglyceride levels, and low HDL cholesterol levels, but black men had a greater frequency of high blood pressure. Other factors, such as smoking, small LDL particle size, prothrombotic state, family history, and environmental risk factors, may occur more frequently in blacks. Brancati et al reported that US blacks had a lower education level, were more likely to have a family history of diabetes mellitus, and engaged in less physical activity during leisure time than whites. Other factors related to ethnicity and socioeconomic status may also affect mortality risk, separate from those leading to the metabolic syndrome, such as access to early diagnosis and treatment.

An important question arising from these observations is the validity of the metabolic syndrome criteria when applied across different age, sex, and ethnic groups. Each of the metabolic syndrome criteria are now weighted equally, although some may be more potent CHD risk factors than others. Thus, the power of single components of the metabolic syndrome to predict eventual disease may differ across ethnic groups. The metabolic syndrome “cutoff points” may also vary by ethnic group. For example, for the same waist circumference, blacks have relatively smaller depots of insulin resistance related to

### Table 5. Multivariable Adjusted ORs for the Metabolic Syndrome

<table>
<thead>
<tr>
<th></th>
<th>Men (n = 5227)</th>
<th>Women (n = 5591)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>OR (95% CI)</td>
<td>P Value</td>
</tr>
<tr>
<td>US population, in millions, No.</td>
<td>58.5</td>
<td>61.1</td>
</tr>
<tr>
<td><strong>Model 1</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Age, y</td>
<td></td>
<td></td>
</tr>
<tr>
<td>20-34</td>
<td>1.0 (Referent)</td>
<td>. . .</td>
</tr>
<tr>
<td>35-64</td>
<td>2.8 (1.8-4.5)</td>
<td>&lt;.001</td>
</tr>
<tr>
<td>≥65</td>
<td>5.8 (3.9-8.7)</td>
<td>&lt;.001</td>
</tr>
<tr>
<td><strong>Model 2</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Ethnicity</td>
<td></td>
<td></td>
</tr>
<tr>
<td>White</td>
<td>1.0 (Referent)</td>
<td>. . .</td>
</tr>
<tr>
<td>Black</td>
<td>0.5 (0.3-0.6)</td>
<td>&lt;.001</td>
</tr>
<tr>
<td>Mexican American</td>
<td>1.1 (0.9-1.4)</td>
<td>NS</td>
</tr>
<tr>
<td>BMI</td>
<td></td>
<td></td>
</tr>
<tr>
<td>&lt;18.5</td>
<td>0.4 (0.1-2.0)</td>
<td>NS</td>
</tr>
<tr>
<td>18.5-24.9</td>
<td>1.0 (Referent)</td>
<td>. . .</td>
</tr>
<tr>
<td>25-29.9</td>
<td>5.2 (3.9-6.9)</td>
<td>&lt;.001</td>
</tr>
<tr>
<td>30-34.9</td>
<td>25.2 (18.3-32.9)</td>
<td>&lt;.001</td>
</tr>
<tr>
<td>≥35</td>
<td>67.7 (40.5-113.3)</td>
<td>&lt;.001</td>
</tr>
<tr>
<td>Smoking</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Never</td>
<td>1.0 (Referent)</td>
<td>. . .</td>
</tr>
<tr>
<td>Current</td>
<td>1.5 (1.1-2.2)</td>
<td>&lt;.05</td>
</tr>
<tr>
<td>Past</td>
<td>1.2 (0.8-1.8)</td>
<td>NS</td>
</tr>
<tr>
<td>Drinking</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Never</td>
<td>1.1 (0.8-1.5)</td>
<td>NS</td>
</tr>
<tr>
<td>Slight/moderate</td>
<td>1.0 (Referent)</td>
<td>. . .</td>
</tr>
<tr>
<td>Heavy</td>
<td>1.1 (0.8-1.6)</td>
<td>NS</td>
</tr>
<tr>
<td>Carbohydrate intake</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Low</td>
<td>0.9 (0.7-1.3)</td>
<td>NS</td>
</tr>
<tr>
<td>Middle</td>
<td>1.0 (Referent)</td>
<td>. . .</td>
</tr>
<tr>
<td>High</td>
<td>1.7 (1.2-2.5)</td>
<td>&lt;.01</td>
</tr>
<tr>
<td>Physical activity status</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Inactive</td>
<td>1.4 (1.0-2.0)</td>
<td>&lt;.05</td>
</tr>
<tr>
<td>Education level, y</td>
<td></td>
<td></td>
</tr>
<tr>
<td>&lt;8</td>
<td>0.8 (0.6-1.3)</td>
<td>NS</td>
</tr>
<tr>
<td>8-12</td>
<td>1.0 (0.8-1.3)</td>
<td>NS</td>
</tr>
<tr>
<td>&gt;12</td>
<td>1.0 (Referent)</td>
<td>. . .</td>
</tr>
<tr>
<td>Household income, $/y</td>
<td></td>
<td></td>
</tr>
<tr>
<td>≤15 000</td>
<td>1.0 (0.7-1.5)</td>
<td>NS</td>
</tr>
<tr>
<td>15 001-25 000</td>
<td>1.2 (0.8-1.7)</td>
<td>NS</td>
</tr>
<tr>
<td>&gt;25 000</td>
<td>1.0 (Referent)</td>
<td>. . .</td>
</tr>
<tr>
<td>Menopausal status</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Postmenopausal</td>
<td>...</td>
<td>1.6 (1.1-2.3)</td>
</tr>
</tbody>
</table>

Abbreviations: BMI, body mass index (calculated as weight in kilograms divided by the square of height in meters); CI, confidence interval; NS, not significant; OR, odds ratio.

*Age was inserted in model 1 as young, middle, and older categories and in model 2 as a continuous variable.
visceral adipose tissue compared with whites. Insulin resistance is also associated with blood pressure levels in white but not black Americans. Future longitudinal studies are needed to critically test ATP III criteria for the metabolic syndrome, particularly as they apply to the predictive validity for the development of disease across different ethnic groups.

Age

The prevalence of the metabolic syndrome rose with age, reaching peak levels in the sixth decade for men and the seventh decade for women. Prevalence rates declined in the eighth decade for men and women in some ethnic groups. The marked prevalence increase between the third and fifth decades is paralleled by similar increases among US civilians in the prevalence of overweight and obesity, key related factors in the development of visceral adiposity, insulin resistance, dyslipidemias, high blood pressure, and impaired glucose metabolism. In addition, aging per se is associated with evolution of insulin resistance, other hormonal alterations, and increases in visceral adipose tissue, all of which are important in the pathogenesis of the metabolic syndrome.

Body Mass Index

Although less than 6% of normal-weight adults met the criteria for the metabolic syndrome, rates increased in overweight participants and reached a prevalence of approximately 60% in moderately obese participants with a BMI of approximately 35. The ORs for the metabolic syndrome increased, beginning in the overweight group, as a function of BMI. The OR increase in men exceeded that in women, with a BMI greater than 30, indicating that men may be more sensitive to excessive weight gain than women. Participants with BMI less than 25 meeting the metabolic syndrome criteria may be the “metabolically obese, normal-weight” individuals referred to by Ruderman et al who purportedly have insulin resistance as the central feature of their cluster of metabolic abnormalities.

Although BMI serves as a useful marker of obesity and related insulin resistance, stronger correlations are observed between abdominal obesity and metabolic risk factors. The ATP III included waist circumference as a proxy measure of abdominal obesity, and waist circumference is well correlated with visceral adipose tissue and waist circumference is a better anthropometric predictor of metabolic risk factors than BMI. Easily measured waist circumference is thus a simple and useful tool for identifying patients who are susceptible to the metabolic syndrome.

SOCIOECONOMIC AND LIFESTYLE CHARACTERISTICS

Many studies have reported that low socioeconomic status is associated with a higher mortality rate for cardiovascular disease. A low education level links cardiovascular disease with risk factors such as smoking, hypertension, impaired glucose tolerance, diabetes mellitus, physical inactivity, and overweight with associated metabolic disturbances. No significant associations were observed in the present study between education level and the odds of having the metabolic syndrome. In women, however, the OR for the metabolic syndrome was significantly increased in the low household income group. In addition, a variety of lifestyle associations increased the odds of meeting the metabolic syndrome diagnostic criteria. Significantly higher ORs were found in currently smoking men and women than in their nonsmoking counterparts. The association between smoking and the metabolic syndrome remained even after adjusting for other covariates, possibly a reflection of the effect of cigarette smoking on insulin resistance. The association of low education levels with elevated risk is likely mediated by other risk factors, such as low household income, smoking, high carbohydrate intake, and physical inactivity.

The prevalence of the metabolic syndrome was elevated in women who abstained from alcohol. Slight and moderate alcohol consumption has been found in epidemiologic studies to be associated with low CHD risk, possibly through beneficial alterations in HDL cholesterol and blood pressure. There was an additional lowering of the odds of having the metabolic syndrome with high alcohol intake in women. In men, the odds of having the metabolic syndrome were increased in those who ingested a relatively large proportion of their calories from carbohydrates. High carbohydrate intake may predispose individuals to elevated triglyceride and low HDL cholesterol levels, 2 components of the metabolic syndrome.

The OR was significantly increased for physical inactivity in men. Physical inactivity also imparts an increased risk for CHD and type 2 diabetes mellitus and exacerbates the severity of other risk factors. Increased physical activity promotes weight loss and maintenance in obese individuals and favorably modifies obesity-associated risk factors, including promoting visceral adipose tissue loss, improving insulin sensitivity, increasing HDL cholesterol levels, and lowering triglyceride levels.

STUDY LIMITATIONS

The principal limitation relevant to the interpretation of our results is the use of cross-sectional data; thus, causal pathways underlying the observed relationships cannot be inferred. In addition, our investigation included only one dietary marker outside of alcohol intake: percentage of calories from carbohydrates. Future studies should consider additional dietary variables that are known to affect lipid levels and to be associated with educational status. Finally, the NHANES III database was developed between 1988 and 1994, and the observed prevalence rates may differ from those actually present in the current US population. The NHANES IV database will be available in the near future, allowing for adjustment of the prevalence rates reported in this trial.

CLINICAL IMPLICATIONS

An important advance embodied in the new ATP III criteria for the metabolic syndrome is that all 5 compo-
ponents can be easily evaluated in the clinical setting. Our findings and those of Ford et al\(^{33}\) indicate that more than 1 in 5 patients, and more in some populations, will meet these criteria and harbor what is usually a clinically si-
lent aggregate of CHD risk factors. Although for many obese patients risk is already evident, our findings re-
veal that the risk of having the metabolic syndrome in-
creases steeply even within the overweight or “pre-
obese” range, with approximately 20% of individuals af-
fected between a BMI of 25 and 29.9. Detecting these over-
weight individuals and the 6% of normal-weight individu-
als with the metabolic syndrome and implementing pre-
ventive lifestyle interventions—diet education, physical ac-
tivity, weight control, smoking cessation, and related be-
havior modification—is a high clinical priority. Support for
this recommendation is provided by recent studies dem-
strating a slowing in the rate of new type 2 diabetes mel-
tus onset with lifestyle interventions in individuals with
impaired glucose tolerance\(^{34-36}\).

In conclusion, more than 20% of US adults have the
metabolic syndrome as defined by ATP III. The present
study not only reveals the exceptionally high preval-
ence of the metabolic syndrome within some specific
groups but also brings forth important questions sur-
rounding the mechanisms of between–ethnic group dif-
f erences and on the validity of a unified set of diagnostic
criteria. The increasing number of overweight and obese
individuals of all ages combined with the growing num-
ber of elderly people promises to make the metabolic syn-
drome an increasingly common condition amenable to
preventive lifestyle interventions.

Accepted for publication June 14, 2002.

This study was supported by grant DK42618 from the
National Institutes of Health, Bethesda, Md, and a dona-
tion from Bristol Myers Corp, New York, NY.

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