

ONLINE FIRST

Quality of Care in the US Territories

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Background: Health care quality in the US territories is poorly characterized. We used process measures to compare the performance of hospitals in the US territories and in the US states.

Methods: Our sample included nonfederal hospitals located in the United States and its territories discharging Medicare fee-for-service (FFS) patients with a principal discharge diagnosis of acute myocardial infarction (AMI), heart failure (HF), or pneumonia (PNE) (July 2005–June 2008). We compared risk-standardized 30-day mortality and readmission rates between territorial and stateside hospitals, adjusting for performance on core process measures and hospital characteristics.

Results: In 57 territorial hospitals and 4799 stateside hospitals, hospital mean 30-day risk-standardized mortality rates were significantly higher in the US territories ($P < .001$) for AMI (18.8% vs 16.0%), HF (12.3% vs 10.8%), and PNE (14.9% vs 11.4%). Hospital mean 30-

day risk-standardized readmission rates (RSRRs) were also significantly higher in the US territories for AMI (20.6% vs 19.8%; $P = .04$), and PNE (19.4% vs 18.4%; $P = .01$) but was not significant for HF (25.5% vs 24.5%; $P = .07$). The higher risk-standardized mortality rates in the US territories remained statistically significant after adjusting for hospital characteristics and core process measure performance. Hospitals in the US territories had lower performance on all core process measures ($P < .05$).

Conclusions: Compared with hospitals in the US states, hospitals in the US territories have significantly higher 30-day mortality rates and lower performance on every core process measure for patients discharged after AMI, HF, and PNE. Eliminating the substantial quality gap in the US territories should be a national priority.

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THE UNITED STATES HAS JURISDICTION over several unincorporated territories, including the Commonwealth of Puerto Rico, Guam, American Samoa, the Commonwealth of the Northern Mariana Islands, and the US Virgin Islands. These US territories are home to almost 5 million residents, almost all of whom self-identify as racial/ethnic minorities.¹

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Despite a national commitment to eliminate health disparities, the territories are largely absent in national reports of health care equity and quality.²⁻⁴ Studies about hospital quality of care in the US typically exclude hospitals in the US territories or combine them with other US regional areas, masking potential differences between quality of care between the territories and states.⁵ The recent initiatives by the Centers of Medicare & Medicaid Services

(CMS) to measure hospital outcomes (ie, short-term mortality and readmission rates) and hospital processes of care (ie, performance on a set of core process measures) for 3 acute conditions—acute myocardial infarction (AMI), heart failure (HF), and pneumonia (PNE)—provide an opportunity to assess the overall quality of care in the territories.⁶ We sought to compare performance on the outcome and core process measures between hospitals in the US territories and those located in the US states. We also investigated whether hospital characteristics and core process measures accounted for differences in performance on outcome measures.

METHODS

STUDY COHORT

The study cohort included hospitals in the US territories and in the US states, inclusive of the District of Columbia, that discharged at least 1 Medicare fee-for-service (FFS) adult patient with a primary diagnosis of AMI, HF, or PNE be-

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tween July 2005 and June 2008. Additional patient inclusion criteria included at least 12 months of continuous Medicare FFS coverage prior to the index admission in order to accurately capture patient comorbidity. We randomly selected 1 admission per year for patients with multiple admissions for the same diagnosis within any study year. Patients transferred between hospitals were assigned to the referring hospitals. Diagnosis was based on *International Classification of Diseases, Ninth Revision, Clinical Modification (ICD-9-CM)* diagnostic codes (eTable; <http://www.archinternmed.com>).

PERFORMANCE MEASURES

We included 2 types of performance measures, outcome measures and core process measures. The outcome measures included the hospital-specific 30-day risk-standardized rates for all-cause mortality (RSMR) and for all-cause readmission (RSRR), which were developed for CMS and endorsed by the National Quality Forum.^{7,8} These rates are derived from CMS administrative data and have previously been demonstrated to produce estimates that are reliable approximations of models based on medical records; the detailed method for the derivation and validation of risk-standardized mortality and readmission rates included territorial data and is published elsewhere.⁹⁻¹⁴ Briefly, the risk-standardized rates are determined for each hospital and condition (AMI, HF, and PNE) by dividing the predicted number of deaths or readmissions by the expected number and multiplying this ratio by the national unadjusted 30-day mortality or 30-day readmission rate for the particular condition.

We also examined core process measures, which are publicly reported, evidence-based standards of care specific to each condition. Hospitals receive a financial incentive to voluntarily submit these data to the Hospital Quality Alliance; these data are reported publicly in the CMS Hospital Compare database. We used the CMS Hospital Compare database, based on medical records and administrative databases covering July 1, 2005, to June 30, 2008, for information about performance on AMI, HF, and PNE core process measures.¹⁵ Hospital performance for each outcome and process measure is determined using varying numbers of admissions within each hospital and, therefore, is based on different condition-specific patient populations. The method for the calculation of each core process measure is detailed elsewhere.¹⁶ Core process measures for AMI comprised appropriate angiotensin-converting enzyme inhibitor or angiotensin-receptor blocker prescription at discharge, smoking cessation advice, aspirin at admission, aspirin at discharge, β -blocker at discharge, percutaneous coronary intervention for less than 90 minutes, and fibrinolytic therapy for less than 30 minutes). Quality measures for HF comprised appropriate angiotensin-converting enzyme inhibitor or angiotensin-receptor blocker prescription at discharge, discharge instructions, smoking cessation advice, and assessment of left ventricular ejection fraction. Quality measures for PNE comprised influenza and pneumococcal vaccination, initial antibiotics within 6 hours, oxygenation assessment at admission, smoking cessation advice, most appropriate initial antibiotic, and blood culture in the emergency department.

TERRITORIES

The US territories included the 4 organized insular areas of the United States: Puerto Rico, Guam, the Northern Mariana Islands, and the US Virgin Islands. An organized territory differs from a state in the allowance for relatively limited self government under Organic Acts (formal congressional legislation to organize local government); ultimate authority is held by the US Congress, not the territorial government.¹⁷ Residents in these 4 territories are US citizens by birth. We also included Ameri-

can Samoa, an unorganized insular area of the United States. American Samoa is technically unorganized because it does not have an Organic Act despite having limited self-government; residents here are US nationals by birth and have to apply for US citizenship. We excluded territories that do not have civilian hospitals because they are uninhabited or only inhabited by military personnel or a small number of people with caretaking responsibilities for the island.

The US territories are largely viewed as 1 collective for the purpose of federal government policies but differ in population size and composition.¹⁸ American Samoa is the smallest territory by population with approximately 57 000 residents, most of whom (92%) self-identify as native Hawaiian and other Pacific Islander. Residents of the Northern Mariana Islands (population, n=69 000) primarily identify as Asian (55%), specifically Chinese or Filipino, or native Hawaiian and other Pacific Islander (32%). Guam (population, n=155 000) residents, similarly, identify as Asian (33%) or native Hawaiian and other Pacific Islander (45%). The US Virgin Islands has a population of 108 000, and 76% of residents identify as black or African American. Puerto Rico is the largest territory, with a population of 3.9 million; most people who reside in Puerto Rico identify as white (81%) and Hispanic (99%).

HOSPITAL CHARACTERISTICS

Hospital characteristics were derived from the 2007 American Hospital Association (AHA) Survey and selected based on findings from earlier work.¹⁹⁻²² We assessed the number of total staffed beds (≤ 50 , 51-100, 101-200, 201-300, and >300), ownership type (government owned, private not-for-profit, and private for-profit), cardiac facilities (no catheterization laboratory, catheterization laboratory but no open heart surgery, open heart surgery, unknown cardiac facilities), and The Joint Commission accreditation status (yes or no). We used CMS data to calculate the Medicare diagnosis-specific 3-year volume using the number of patients reported for each of the 3 mortality measures, categorized as quintiles of discharges (≤ 15 , 16-45, 46-150, 151-480, and >480).

STATISTICAL ANALYSIS

We described the distribution of patient-level characteristics including medical history and co-existing conditions across the cohort stratified by condition and location in the US states or in the US territories. In contrast, the hospital was the unit of analysis for all subsequent analyses. We compared hospital characteristics between hospitals located in the territories and those in the states using χ^2 tests among the subset of hospitals we could match with the AHA survey data. We compared core process measures and 30-day RSMRs and 30-day RSRRs for all reporting hospitals in the territories and in the states using linear regression, weighted for the hospital 3-year volume of the corresponding outcome measure (RSMR or RSRR), including an indicator for either all territories combined or separate indicators for Puerto Rico and the other territories; we report the corresponding Wald test statistic. Our early analyses demonstrated we could combine these 3 years of data because differences by territorial or stateside location did not vary significantly by year. We used box and whisker plots to illustrate the differences in RSMRs and RSRRs between hospitals in the territories and hospitals in the states.

We next used this AHA-matched group in multivariable analyses. We estimated similarly weighted linear regression models using mean RSMRs and RSRRs for hospitals in the AHA-matched group as our dependent variables and territory status as the independent variable; we included hospital core process measures and hospital structural characteristics as covariates. We included hospital core process measures in the final

Table 1. Patient Demographic Characteristics, Cardiovascular Medical History, and Comorbid Conditions for Fee-for-Service Medicare Beneficiaries Admitted for AMI, HF, and PNE (July 2005–June 2008), Stratified by Condition and Hospital Location in the US State or in the US Territories^a

Variable	AMI				HF				PNE			
	Mortality		Readmission		Mortality		Readmission		Mortality		Readmission	
	States	Territories	States	Territories	States	Territories	States	Territories	States	Territories	States	Territories
Total No. of patients	588 153	3095	583 783	2595	1 156 513	4755	1 424 811	5311	1 219 656	5755	1 291 898	5196
Total No. of hospitals	4799	57	4799	57	4799	57	4799	57	4799	57	4799	57
Demographics												
Age, mean (SD), y	79.3 (8.1)	78.2 (7.9)	78.9 (8.0)	77.8 (7.7)	80.8 (7.9)	78.4 (7.8)	80.4 (7.9)	78.0 (7.7)	80.3 (8.0)	80.0 (8.3)	80.1 (8.0)	79.6 (8.3)
Male, %	48.9	50.1	49.3	50.3	42.4	45.8	43.1	45.6	44.2	43.1	44.6	43.1
Cardiovascular medical history, % ^b												
History of PCI	7.5	4.2	8.4	5.2	6.6	3.2	–	–	3.3	0.9	–	–
History of CABG surgery	6.4	6.0	6.3	7.3	10.7	6.8	11.7	7.3	4.9	2.5	5.1	2.6
Heart failure	31.3	34.6	33.6	35.6	73.3	70.4	76.4	73.9	38.4	32.8	38.9	33.2
Myocardial infarction	13.6	13.4	31.0	34.9	9.4	11.5	–	–	3.5	3.8	–	–
Unstable angina	14.0	25.2	–	–	14.0	27.4	–	–	6.5	12.0	–	–
Chronic atherosclerosis	76.1	68.8	80.3	71.2	70.8	71.5	73.3	74.5	46.6	43.1	47.1	43.3
Cardiopulmonary-respiratory failure and shock	8.3	6.9	–	–	18.9	14.5	20.1	16.7	15.6	11.0	16.3	11.4
Valvular heart disease	30.3	23.4	32.0	24.5	48.2	36.2	49.5	38.2	–	–	23.6	18.8
Comorbid condition, % ^b												
Hypertension	80.5	85.3	–	–	85.4	89.8	–	–	78.5	83.3	–	–
Stroke	8.3	10.8	8.3	11.2	10.4	13.2	10.4	13.8	10.5	15.5	10.4	14.9
Cerebrovascular disease	19.0	16.2	19.6	16.8	–	–	–	–	20.3	17.9	–	–
Renal failure	17.4	18.8	18.2	20.0	34.3	31.1	36.0	34.4	18.1	16.4	18.1	16.4
COPD	30.2	24.4	30.8	24.2	46.9	40.6	47.8	41.9	55.6	49.6	56.7	51.0
Pneumonia	23.6	22.2	23.6	20.8	41.2	36.1	41.5	37.3	42.1	39.5	44.1	41.3
Diabetes	40.7	63.1	41.6	64.5	49.5	70.5	50.6	72.1	–	–	37.0	56.9
Protein-calorie malnutrition	4.0	1.6	3.7	1.0	5.9	1.6	5.5	1.4	8.8	4.1	8.4	4.0
Dementia	17.7	12.9	17.0	12.8	20.9	12.8	20.3	12.5	28.1	25.4	27.8	25.1
Functional disability	5.4	4.5	5.4	4.2	6.8	6.2	6.8	6.5	7.6	6.7	7.6	6.5
Peripheral vascular disease	24.4	22.2	33.7	29.1	33.6	27.7	47.8	38.3	27.5	21.4	38.3	29.2
Metastatic cancer	3.7	2.7	2.1	1.4	4.1	3.6	2.2	2.0	8.3	6.9	4.4	3.7
Trauma in last year	27.8	23.6	–	–	35.1	25.6	–	–	35.5	26.9	–	–
Major psychiatric disorders	6.5	5.1	–	–	8.7	5.8	8.6	5.8	11.6	8.4	11.7	8.5
Chronic liver disease	1.0	0.9	–	–	2.0	2.0	8.3	9.7	1.4	1.5	–	–
Severe hematological disorders	–	–	–	–	–	–	3.6	3.4	3.7	3.1	3.6	3.1
Iron deficiency	–	–	–	–	–	–	51.4	50.2	46.7	46.0	46.8	45.5
Depression	–	–	–	–	–	–	13.0	4.1	16.5	4.6	–	–
Seizure disorders or convulsions	–	–	–	–	–	–	–	–	5.7	6.2	–	–
Fibrosis of lung or other chronic lung disorders	–	–	–	–	–	–	12.5	6.0	16.5	9.9	17.2	10.1
Asthma	–	–	5.9	17.1	–	–	8.8	25.4	11.2	30.0	11.6	31.8

Abbreviations: AMI, acute myocardial infarction; CABG, coronary artery bypass graft; COPD, chronic obstructive pulmonary disease; HF, heart failure; PCI, percutaneous coronary intervention; PNE, pneumonia; –, clinical characteristic not used for risk-standardization for that condition-specific hospitalization.

^aRisk-adjustment models included age, sex, and the same clinical characteristic variables used for the risk-standardization measures developed for each condition independently for the Centers for Medicare & Medicaid Services and endorsed by the National Quality Forum for hospital performance evaluation.^{23,24}

^bCardiovascular medical history and comorbid conditions were based on hierarchical condition categories with the exception of history of percutaneous coronary intervention and CABG surgery. The numbers included in the “Total No. of Patients” cells refer to the numbers of patients in the relevant analyses for each cohort and do not refer to the actual numbers of patients who died or who were readmitted respectively.

regression models to assess whether differences in process measure performance could account for any observed differences in the outcomes of interest; we included hospital structural characteristics based on previously published work.^{19–23} We excluded percutaneous coronary intervention less than 90 minutes from multivariable analyses because fewer than 10% of

hospitals in the US territories reported this measure. We chose 2 different strategies to handle missing data. In multivariable models, indicator variables were included for missing hospital characteristics (ie, ownership and cardiac facilities) and multiple imputation was used to account for missing performance measures.²⁴ We used 2 approaches because the missing hospi-

Table 2. Hospital Characteristics for Hospitals That Admitted Fee-for-Service Medicare Beneficiaries for AMI, HF, and PNE (July 2005–June 2008), Stratified by Hospital Location in the US States or in the US Territories

Variable	No. (%)			P Value ^a	All US Territories, No. (%) ^b	P Value ^c
	US States	Puerto Rico	Other US Territories			
Total No. of hospitals (N=4856)	4799	52	5		57	
Total No. of staffed beds						
≤50	1402 (29.2)	4 (7.7)	0	<.001	4 (7.0)	<.001
51-100	845 (17.6)	9 (17.3)	1 (20.0)			
101-200	998 (20.8)	21 (40.4)	3 (60.0)			
201-300	570 (11.9)	10 (19.2)	1 (20.0)			
>300	780 (16.3)	4 (7.7)	0			
Missing	204 (4.3)	4 (7.7)	0		4 (7.0)	
Medicare AMI 3-y volume						
≤15	1216 (25.3)	10 (19.2)	3 (60.0)	.001	13 (22.8)	<.001
16-45	944 (19.7)	9 (17.3)	1 (20.0)			
46-150	1090 (22.7)	25 (48.1)	1 (20.0)			
151-480	1048 (21.8)	4 (7.7)	0			
>480	258 (5.4)	0	0			
Not included ^d	243 (5.1)	4 (7.7)	0		4 (7.0)	
Medicare HF 3-y volume						
≤15	352 (7.3)	6 (11.5)	1 (20.0)	<.001	7 (12.3)	<.001
16-45	679 (14.1)	11 (21.2)	1 (20.0)			
46-150	1390 (29.0)	25 (48.1)	2 (40.0)			
151-480	1589 (33.1)	8 (15.4)	1 (20.0)			
>480	708 (14.8)	0	0			
Not included ^d	81 (1.7)	2 (3.8)	0		2 (3.5)	
Medicare PNE 3-y volume						
≤15	229 (4.8)	6 (11.5)	1 (20.0)	<.001	7 (12.3)	<.001
16-45	407 (8.5)	9 (17.3)	1 (20.0)			
46-150	1381 (28.8)	24 (46.2)	2 (40.0)			
151-480	2037 (42.4)	12 (23.1)	1 (20.0)			
>480	704 (14.7)	0	0			
Not included ^d	41 (0.9)	1 (1.9)	0		1 (1.8)	
Ownership						
Unknown	204 (4.3)	4 (7.7)	0	<.001	4 (7.0)	<.001
Public	1159 (24.2)	6 (11.5)	4 (80.0)			
Private not-for-profit	2726 (56.8)	18 (34.6)	0			
Private for-profit	710 (14.8)	24 (46.2)	1 (20.0)			
Cardiac facilities						
Open heart surgery	1053 (21.9)	5 (9.6)	1 (20.0)	<.001	6 (10.5)	<.001
Interventional catheterization only	644 (13.4)	3 (5.8)	1 (20.0)			
No interventional catheterization	2239 (46.7)	12 (23.1)	0			
Unknown	863 (18.0)	32 (61.5)	3 (60.0)			
Joint Commission Accreditation						
No	1366 (28.5)	18 (34.6)	3 (60.0)	.19	21 (36.8)	.13
Yes	3229 (67.3)	30 (57.7)	2 (40.0)			
Unknown	204 (4.3)	4 (7.7)	0			

Abbreviations: AMI, acute myocardial infarction; HF, heart failure; PNE, pneumonia.

^aP value for independence of characteristic across "US States," "Puerto Rico," and "Other US Territories," based on exact χ^2 test.

^b"All US Territories" is inclusive of Puerto Rico.

^cP value for independence of characteristic across "US States" and "All US Territories," based on exact χ^2 test.

^dHospital did not report enough cases for corresponding mortality measure.

tal characteristics data were typically missing for the same set of hospitals (eg, not missing at random). For multiple imputation, we generated 20 imputed data sets for each outcome measure using linear regression of performance measures against the outcome measure and hospital characteristics; we then estimated the model on each of the 20 data sets and combined the results to produce a single set of estimates for the coefficients and standard errors.²⁵⁻²⁷ We repeated these analyses with data from the hospitals in Puerto Rico separated from the other territories to assess potential interterritorial differences.

All analyses were performed using SAS version 9.1 (SAS Institute Inc, Cary, North Carolina) and Stata 11 (StataCorp, College Station, Texas, 2009) statistical software.

RESULTS

HOSPITAL SAMPLE

The total sample included 4856 hospitals, 57 in the territories and 4799 in the states, reporting at least 1 admission for 1 of the 3 diagnoses over the study period. The total patient numbers for each outcome measure and geographic area are reported along with characteristics of the corresponding patient samples (**Table 1**). For the multivariable analyses, we excluded 204 hospitals in the

Table 3. Performance on Outcome Measures and Core Process Measures for Hospitals That Admitted Fee-for-Service Medicare Beneficiaries for AMI, HF, and PNE (July 2005–June 2008), Stratified by Hospital Location in the US States or in the US Territories

	US States	Puerto Rico	Other US Territories	P Value ^a	All US Territories ^b	P Value ^c
AMI						
RSMR						
No. of hospitals reporting	4556	48	5	<.001	53	<.001
Mean (SD)	16.0 (1.9)	18.8 (1.9) ^d	20.9 (1.8) ^d		18.9 (2.0)	
RSRR						
No. of hospitals reporting	4468	47	5	.04	52	.03
Mean (SD)	19.8 (1.6)	20.5 (1.1)	22.4 (2.8)		20.6 (1.3)	
ACEi or ARB or LVEF assessment						
No. of hospitals reporting	3028	42	3	<.001	45	<.001
Mean (SD)	93.2 (8.2)	84.0 (16.2) ^d	81.9 (12.1)		83.9 (16.0)	
Smoking cessation advice						
No. of hospitals reporting	2878	40	3	<.001	43	<.001
Mean (SD)	98.6 (4.7)	92.4 (14.0) ^d	55.4 (24.7) ^d		90.8 (16.3)	
Aspirin on admission						
No. of hospitals reporting	3688	42	3	<.001	45	<.001
Mean (SD)	97.6 (3.6)	88.1 (9.0) ^d	85.6 (8.9) ^d		88.1 (9.0)	
Aspirin at discharge						
No. of hospitals reporting	3615	39	3	<.001	42	<.001
Mean (SD)	97.3 (4.3)	82.2 (14.9) ^d	80.5 (10.1) ^d		82.1 (14.8)	
β-Blocker at discharge						
No. of hospitals reporting	3625	41	3	<.001	44	<.001
Mean (SD)	97.5 (4.2)	84.1 (12.4) ^d	86.0 (4.6) ^e		84.2 (12.3)	
PCI <90 min						
No. of hospitals reporting	1474	4	2	<.001	6	<.001
Mean (SD)	77.5 (16.1)	24.0 (16.4) ^d	20.2 (5.5)		23.3 (14.3)	
Thrombolytics <30 min						
No. of hospitals reporting	867	26	2	.03	28	.03
Mean (SD)	49.4 (31.8)	39.9 (31.8)	11.1 (29.4)		38.1 (32.0)	
HF						
RSMR						
No. of hospitals reporting	4718	50	5	<.001	55	<.001
Mean (SD)	10.8 (1.6)	12.2 (1.2) ^d	12.7 (1.1)		12.3 (1.2)	
RSRR						
No. of hospitals reporting	4733	49	5	.16	54	.07
Mean (SD)	24.5 (2.2)	25.4 (1.9)	26.5 (1.0)		25.5 (1.8)	
ACEi or ARB or LVEF assessment						
No. of hospitals reporting	3939	41	3	.07	44	.02
Mean (SD)	91.4 (8.0)	88.1 (13.4) ^e	92.7 (16.2)		88.3 (13.4)	
Discharge instructions						
No. of hospitals reporting	4094	42	3	<.001	45	<.001
Mean (SD)	79.6 (16.5)	63.5 (33.4) ^d	44.6 (17.7) ^e		62.9 (33.1)	
Smoking cessation advice						
No. of hospitals reporting	3868	40	3	.002	43	.02
Mean (SD)	96.3 (9.0)	92.5 (11.4)	62.6 (12.5) ^d		91.4 (12.7)	
LVEF assessment						
No. of hospitals reporting	4112	43	3	<.001	46	<.001
Mean (SD)	95.4 (8.1)	80.8 (16.8) ^d	69.2 (9.5) ^d		80.5 (16.7)	

(continued)

US states and 4 hospitals in Puerto Rico because they could not be matched to the AHA survey data; therefore, these models included 53 hospitals in the territories and 4595 hospitals in the states.

HOSPITAL STRUCTURAL CHARACTERISTICS IN US TERRITORIES AND US STATES

The hospitals in the US territories differed significantly from hospitals in the US states on several structural characteristics (**Table 2**). Compared with the hospitals in the states, hospitals in the territories had fewer staffed beds, lower condition-specific volume over the study period, more for-profit ownership (relative to government or private not-for-

profit ownership), and higher number of hospitals missing data on cardiac intervention facilities (vs having open heart surgery facilities, having interventional catheterization laboratory facilities only, or having no open heart catheterization facilities) ($P < .001$). Although fewer hospitals in the territories were accredited by The Joint Commission, the difference was not statistically significant ($P = .08$).

HOSPITAL CORE PROCESS MEASURE PERFORMANCE IN THE US TERRITORIES AND THE US STATES

Hospitals in the US territories demonstrated significantly worse performance compared with the US states

Table 3. Performance on Outcome Measures and Core Process Measures for Hospitals That Admitted Fee-for-Service Medicare Beneficiaries for AMI, HF, and PNE (July 2005–June 2008), Stratified by Hospital Location in the US States or in the US Territories (continued)

	US States	Puerto Rico	Other US Territories	P Value ^a	All US Territories ^b	P Value ^c
PNE						
RSMR						
No. of hospitals reporting	4758	51	5	<.001	56	<.001
Mean (SD)	11.4 (1.9)	14.9 (2.8) ^d	16.4 (2.8) ^d		15.0 (2.8)	
RSRR						
No. of hospitals reporting	4777	52	5	.03	57	.009
Mean (SD)	18.3 (1.8)	19.5 (1.6) ^d	18.7 (0.9)		19.4 (1.5)	
Influenza vaccine						
No. of hospitals reporting	4140	37	3	<.001	40	<.001
Mean (SD)	82.4 (14.5)	32.7 (29.8) ^d	43.6 (8.5)		33.0 (29.5)	
Pneumococcal vaccine						
No. of hospitals reporting	4172	40	3	<.001	43	<.001
Mean (SD)	86.0 (13.1)	34.9 (29.9) ^d	43.0 (30.2) ^e		35.1 (29.7)	
Initial antibiotics within 6 h						
No. of hospitals reporting	4059	41	3	<.001	44	<.001
Mean (SD)	93.5 (5.4)	62.1 (19.0) ^d	80.8 (9.4)		62.6 (19.0)	
Oxygenation assessment						
No. of hospitals reporting	4174	46	3	<.001	49	<.001
Mean (SD)	99.6 (1.4)	94.9 (7.2) ^d	98.3 (2.4)		95.0 (7.2)	
Smoking cessation advice						
No. of hospitals reporting	4101	45	3	<.001	48	.03
Mean (SD)	93.9 (10.8)	89.8 (18.9)	41.5 (25.5) ^d		87.9 (21.2)	
Most appropriate initial antibiotics						
No. of hospitals reporting	4143	43	3	<.001	46	<.001
Mean (SD)	88.8 (7.1)	73.5 (14.5) ^d	72.2 (5.9) ^e		73.4 (14.3)	
Blood culture in emergency department						
No. of hospitals reporting	4057	37	3	<.001	40	<.001
Mean (SD)	92.1 (6.2)	71.4 (14.0) ^d	89.4 (7.9)		72.0 (14.2)	

Abbreviations: ACEi, angiotensin-converting enzyme inhibitor; AMI, acute myocardial infarction; ARB, angiotensin receptor blocker; HF, heart failure; LVEF, left ventricular ejection fraction; PNE, pneumonia; RSMR, 30-day risk-standardized rate for all-cause mortality; RSRR, 30-day risk-standardized rate for all-cause readmission.

^aWald *P* value based on regression of measure against "Puerto Rico" and "Other US Territories," weighted by volume.

^b"All US Territories" is inclusive of Puerto Rico.

^c*P* value based on regression of measure against "All US Territories," weighted by volume.

^d*P* value for test of coefficient <.005.

^e*P* value for test of coefficient <.05.

on all core process measures ($P < .05$) (**Table 3**). Hospitals in Puerto Rico and hospitals in the other territories performed similarly on most core process measures (Table 3).

HOSPITAL OUTCOME MEASURE PERFORMANCE IN US TERRITORIES AND US STATES

The hospital mean 30-day RSMR was significantly higher in the US territories compared with the US states for AMI (18.8% [range, 16.1%-24.5%] vs 16.0% [range, 10.9%-24.9%]; $P < .001$), HF (12.3% [range, 10.3%-15.7%] vs 10.8% [range, 6.6%-19.8%]; $P < .001$), and PNE (14.9% [9.2%-21.6%] vs 11.4% [range, 6.4%-20.1%]; $P < .001$) (Table 3). After adjusting for condition-specific core process measures and hospital characteristics, mortality in territorial compared with stateside hospitals remained significant for all 3 conditions (**Figure 1**). After adjusting for hospital characteristics and core measure performance, 30-day RSMRs in the territories remained significantly worse for patients with AMI (19.1% vs 17.3%; $P < .001$), HF (12.3% vs 11.3%; $P < .001$), and PNE (15.3% vs 12.0%; $P < .001$) (**Table 4**).

The unadjusted hospital mean 30-day RSRR was significantly higher in the territories for 2 of the 3 conditions: AMI (20.6% [range, 18.7%-24.3%] vs 19.8% [range, 15.3%-29.4%]; $P = .04$) and PNE (19.4% [range, 15.7%-22.5%] vs 18.4% [range, 13.1%-27.6%]; $P = .01$) (Table 3). Differences in RSRR for the US territories compared with the US states were not statistically significant for HF (25.5% [range, 22.6%-29.1%] vs 24.5% [range, 15.9%-34.4%]; $P = .07$). However, 30-day RSRRs in the US territories were not significantly different from readmission rates in the US states for any of the 3 conditions after adjustment for hospital structural characteristics and core process measure performance (Table 4 and **Figure 2**). Hospitals in Puerto Rico performed similarly to hospitals in the other US territories across all performance measures (Table 3).

The percentage of hospitals in each decile of outcome measure performance (30-day RSMR and RSRR for the 3 conditions) differed greatly between hospitals in the US territories and hospitals in the US states across all 6 outcome measures (**Table 5**). Using RSMR after AMI as an example, we found that 10.3% of stateside hospitals fell within the first decile (lowest mortality rate) compared with 0% of territorial hospi-

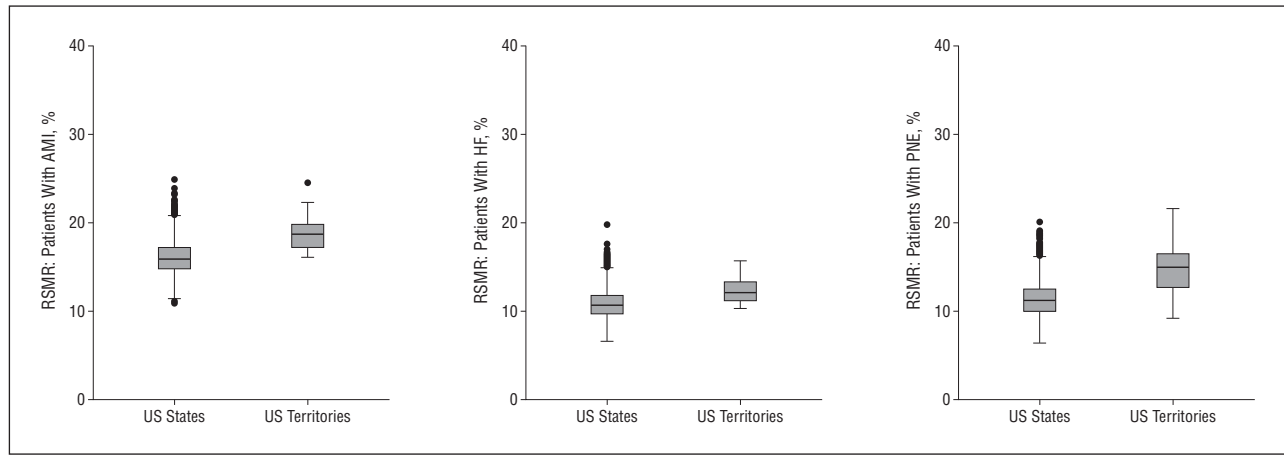


Figure 1. RSMRs for fee-for-service Medicare beneficiaries admitted for AMI, HF, and PNE, stratified by hospital location in the US states or the US territories. The upper boundaries of the boxes represent the 75th percentile; the black horizontal line within each box, the median or 50th percentile; and the lower boundaries of the boxes, the 25th percentile. AMI indicates acute myocardial infarction; HF, heart failure; PNE, pneumonia; and RSMRs, 30-day risk-standardized rates for all-cause mortality.

Table 4. Adjusted^a RSMR and 30-Day RSRR by Condition for Hospitals That Admitted Fee-for-Service Medicare Beneficiaries for AMI, HF, and PNE (July 2005–June 2008) in the US States and in the US Territories

Outcome Measure	No. of Hospitals	Rate (95% CI)					
		Unadjusted Models			Fully Adjusted Models ^a		
		US States	All US Territories	P Value	US States	All US Territories	P Value
AMI							
RSMR	4466	15.98 (15.92-16.03)	18.76 (17.98-19.55)	<.001	17.28 (16.77-17.78)	19.08 (18.19-19.98)	<.001
RSRR	4382	19.82 (19.78-19.87)	20.61 (19.88-21.34)	.04	20.22 (19.77-20.66)	20.58 (19.74-21.42)	.34
HF							
RSMR	4605	10.82 (10.77-10.87)	12.31 (11.56-13.05)	<.001	11.30 (10.28-12.32)	12.32 (11.07-13.57)	.007
RSRR	4610	24.53 (24.46-24.59)	25.52 (24.45-26.58)	.07	25.01 (23.33-26.68)	25.81 (23.83-27.79)	.15
PNE							
RSMR	4633	11.42 (11.36-11.47)	14.91 (14.08-15.73)	<.001	12.00 (10.35-13.65)	15.33 (13.50-17.16)	<.001
RSRR	4637	18.35 (18.30-18.40)	19.43 (18.58-20.28)	.01	18.47 (16.04-20.90)	19.22 (16.66-21.78)	.09

Abbreviations: AMI, acute myocardial infarction; CI, confidence interval; HF, heart failure; PNE, pneumonia; RSMR, 30-day risk-standardized rate for all-cause mortality; RSRR, 30-day risk-standardized rate for all-cause readmission.

^aAdjusted for bed size, The Joint Commission accreditation status, condition-specific Medicare 3-year volume, cardiac facilities (AMI only), and condition-specific core process measures. Results in the table are from the data set after multiple imputations.

tals. Similarly, 9.5% of stateside hospitals fell within the top decile (highest mortality rate) compared with 37.7% of territorial hospitals. Overall, mean state-level and territorial-level performance on each of the 6 outcome measures varied across the country (**Figures 3, 4, and 5**).

COMMENT

Our findings reveal a marked geographic disparity that affects a subset of racial/ethnic minority populations in the United States. Hospitals in the US territories, on average, have significantly higher RSMRs than hospitals in the US states. The magnitude of differences across these rates raises concerns about differences in the quality of care. In comparison with the states, for every 100 AMI admissions in the US territories there are approximately 2 additional deaths, for every 100 HF admissions there is 1 additional death, and for every 100 pneumonia admissions there are 3 additional deaths. The higher mortality rates are not explained by the types of hospitals in-

cluded or their lower use of guideline-recommended therapies. Furthermore, the higher mortality rates observed in the US territories are not the result of a few outlier institutions; virtually all of the territorial hospitals performed below the US national averages.

Notably, the US territories have lower federal insurance reimbursement rates compared with all of the US states.^{28,29} In 2003, the General Accounting Office found that Medicare spending averaged \$6300 per enrollee in the US states compared with \$2800 in the US territories.²⁹ This study did not directly assess whether low reimbursement rates in the US territories contribute to low hospital performance in these regions. Still, it is important to consider the context of differential federal reimbursement policies. Specifically, the federal government has limited its Medicaid contribution to 50%, the lowest allowable percentage, for the US territories. In contrast to reimbursement policies for the US states, the federal government does not make any additional adjustments for lower per capita income in the US territories. The federal government also limits its contribution to a

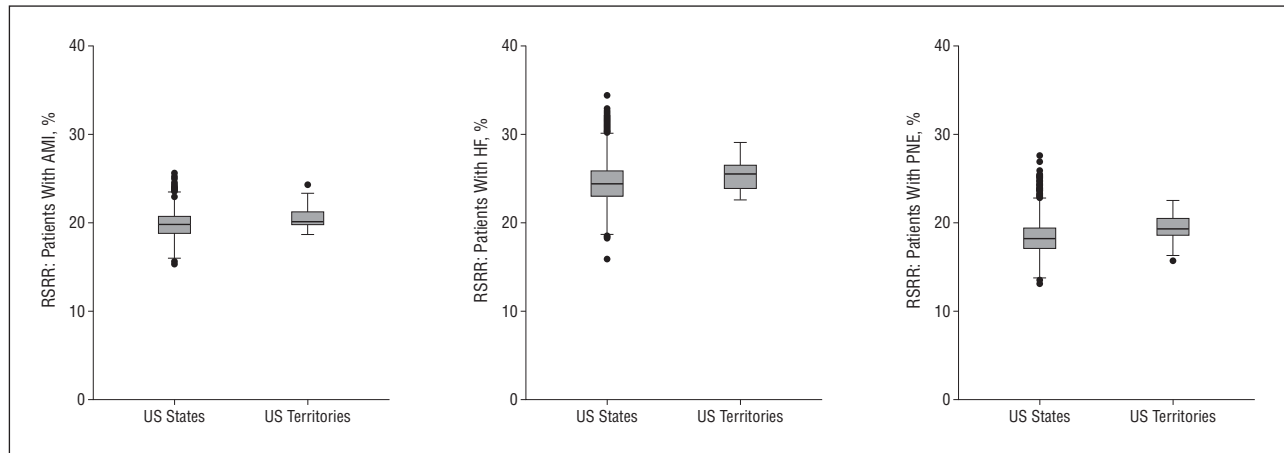


Figure 2. RSRRs for fee-for-service Medicare beneficiaries admitted for AMI, HF, and PNE, stratified by hospital location in the US states or the US territories. The upper boundaries of the boxes represent the 75th percentile; the black horizontal line within each box, the median or 50th percentile; and the lower boundaries of the boxes, the 25th percentile. AMI indicates acute myocardial infarction; HF, heart failure; PNE, pneumonia; and RSRRs, 30-day risk-standardized rates for all-cause readmission.

Table 5. Percentage of Hospitals in Each Decile of Performance^a on RSMR and RSRR Outcome Measures for Hospitals That Admitted Fee-for-Service Medicare Beneficiaries for AMI, HF, and PNE (July 2005–June 2008), Stratified by Hospital Location in the US States or in the US Territories

Decile	Hospitals, %											
	AMI				HF				PNE			
	RSMR		RSRR		RSMR		RSRR		RSMR		RSRR	
	States	Territory	States	Territory	States	Territory	States	Territory	States	Territory	States	Territory
1	10.3	0.0	10.9	3.9	10.3	0.0	10.8	0.0	10.6	1.8	10.5	3.5
2	10.5	0.0	10.3	3.9	10.0	0.0	10.2	9.3	9.7	0.0	10.5	0.0
3	11.0	0.0	11.9	9.6	10.2	3.6	9.6	3.7	10.3	0.0	11.1	3.5
4	9.5	3.8	14.3	9.6	12.5	9.1	11.6	9.3	12.4	3.6	11.5	5.3
5	11.0	15.1	7.1	17.3	10.3	14.6	8.9	13.0	9.9	8.9	8.9	14.0
6	10.0	9.4	11.4	9.6	8.8	16.4	11.0	11.1	9.0	5.4	8.3	8.8
7	9.2	11.3	4.8	5.8	8.6	9.1	8.6	7.4	9.9	5.4	11.1	15.8
8	9.3	11.3	11.7	5.8	11.0	14.6	10.6	16.7	8.9	14.3	8.7	14.0
9	9.9	11.3	8.2	13.5	8.5	10.9	9.3	14.8	10.0	12.5	10.3	15.8
10	9.5	37.7	9.5	21.2	9.9	21.8	9.5	14.8	9.4	48.2	9.1	19.3

Abbreviations: AMI, acute myocardial infarction; CI, confidence interval; HF, heart failure; PNE, pneumonia; RSMR, 30-day risk-standardized rate for all-cause mortality; RSRR, 30-day risk-standardized rate for all-cause readmission.

^aDecile 1 represents the lowest mortality and readmission rates; decile 10 represents the highest mortality and readmission rates.

specific dollar amount in the US territories; there are no comparable “cap” policies in any US state or in the District of Columbia. Both of these discrepancies severely limit health care funding streams in the US territories, with consequences such as narrow Medicaid eligibility criteria and the elimination of Medicaid services that are commonly covered in many US states. Medicaid policies are particularly relevant to the Medicare population, given the growth of dual eligible residents in the territories.³⁰ Puerto Rico faces additional policy challenges when compared with the US states and other territories because of Medicare policies that reimburse inpatient hospitalizations at rates lower than anywhere else in the nation.^{31,32} In addition, the territories have a limited ability to shape the policies that may ultimately influence health care quality; the US territories lack voting representation in the US Congress and residents cannot vote in national elections.³³

We also found that risk-standardized readmission rates were higher in the US territories for AMI and PNE prior to adjustment. Again, almost all of the hospitals in the territories performed worse than the average in the US states, although these associations were not significant after adjusting for hospital characteristics and core process measure performance. Still, readmission rates for all the hospitals were high, and although the disparity was not as prominent as with the mortality measure, the need for improvement is clear.

Lastly, we found marked disparities in performance on the core process measures. These publicly reported measures assess compliance with a set of guideline-recommended therapies and actions that are associated with improved patient outcomes. They demonstrate lower quality care in the care of patients in the territories for each of the 3 conditions, representing substantial opportunities for improvement. As observed in prior work done in the United

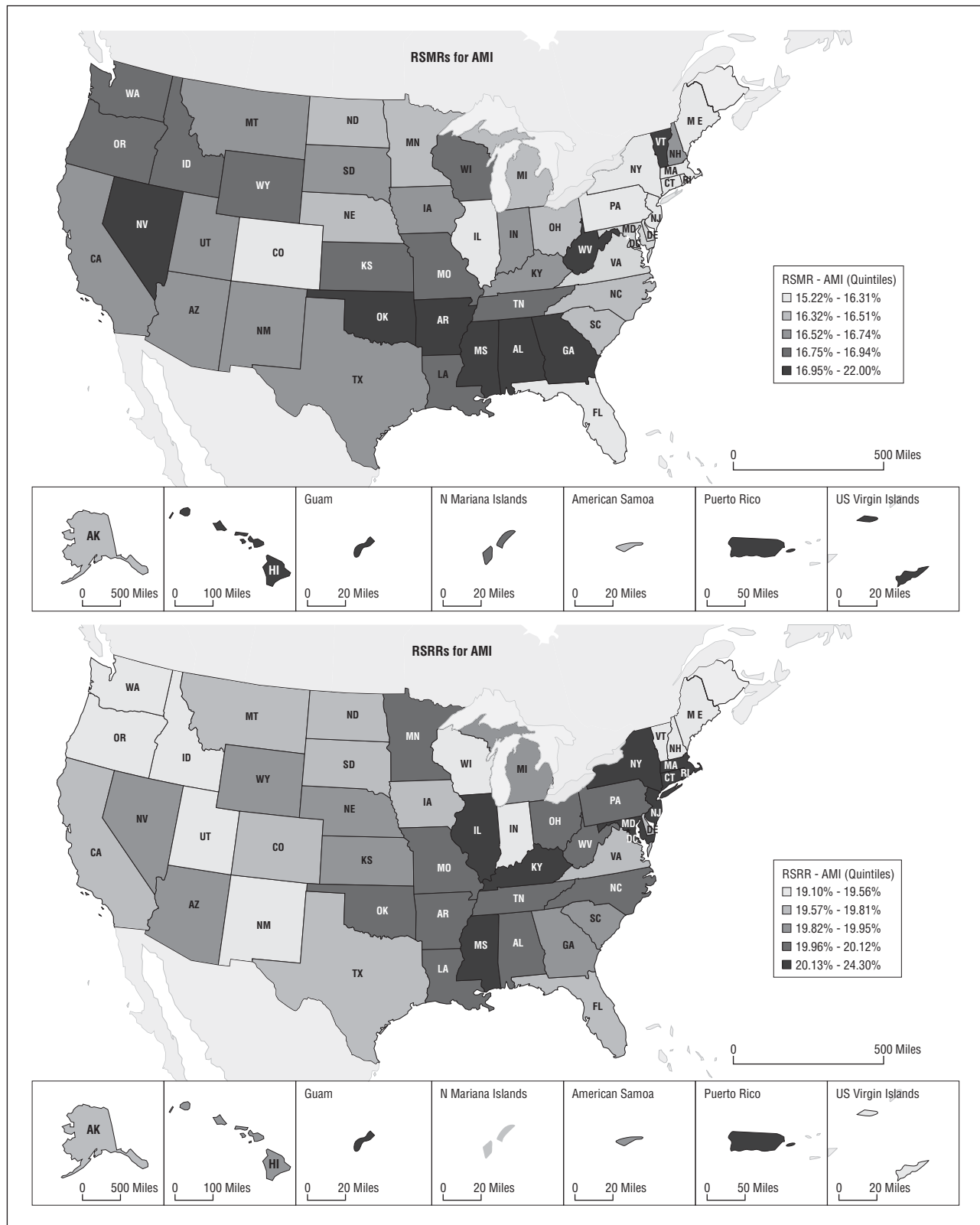


Figure 3. State-level and territorial-level mean RSMRs and RSRRs for fee-for-service Medicare beneficiaries admitted for AMI, presented by performance quintile. Quintiles were determined for each outcome measure. The fifth quintile represents the poorest performing states and/or territories on average; the first quintile, the best performing states and/or territories on average. AMI indicates acute myocardial infarction; RSMRs, 30-day risk-standardized rates for all-cause mortality; and RSRRs, 30-day risk-standardized rates for all-cause readmission.

States, these differences in performance on core process measures explained only a small amount of the variation in mortality, indicating that many other factors play a role.³⁴⁻³⁷ Still,

we included core process measures in our multivariable analysis because the association between processes of care and outcomes may have been different in the US territo-

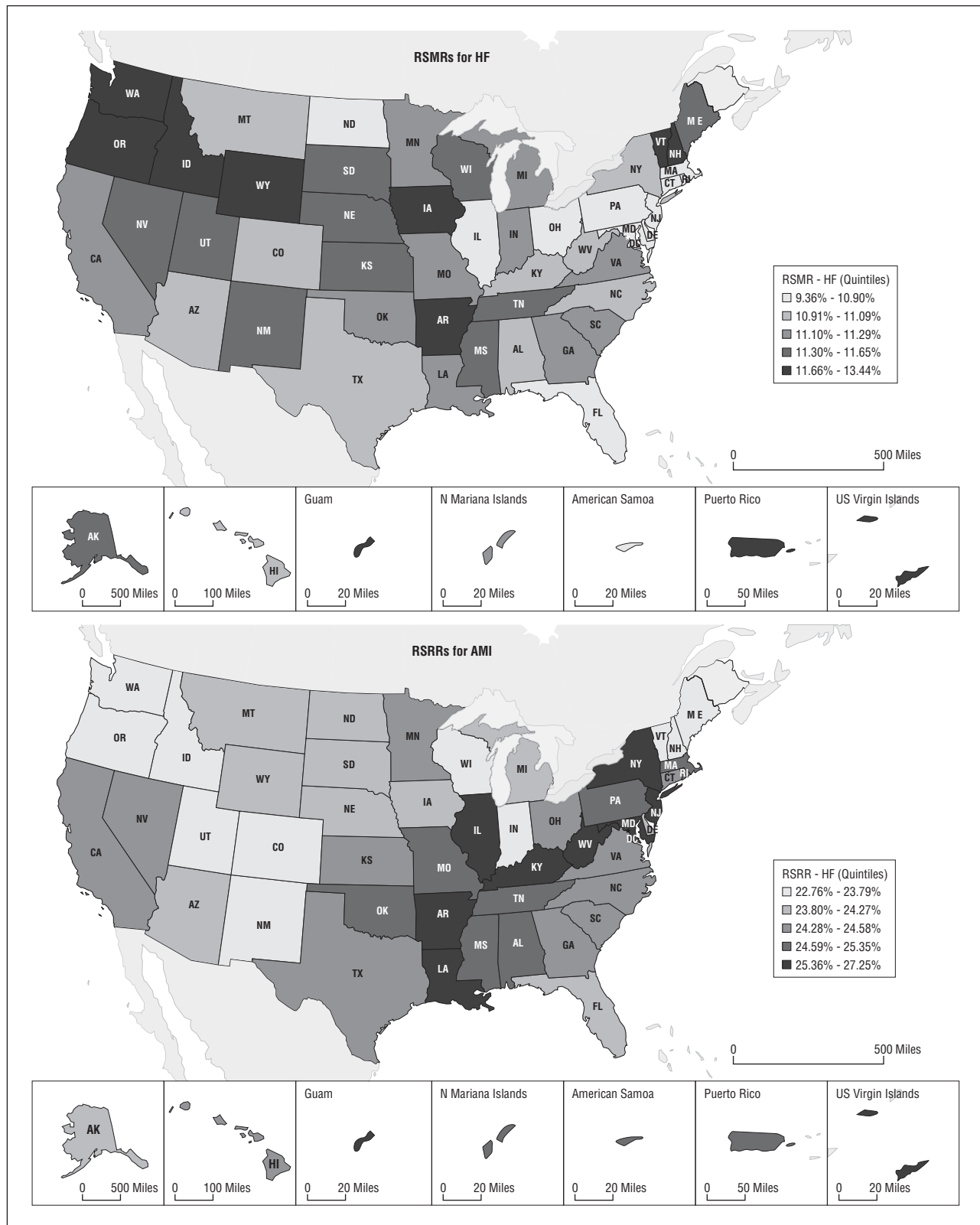


Figure 4. State-level and territorial-level mean RSMRs and RSRRs for fee-for-service Medicare beneficiaries admitted for HF, presented by performance quintile. Quintiles were determined for each outcome measure. The fifth quintile represents the poorest performing states and/or territories on average; the first quintile, the best performing states and/or territories on average. HF indicates heart failure; RSMRs, 30-day risk-standardized rates for all-cause mortality; and RSRRs, 30-day risk-standardized rates for all-cause readmission.

ries and we could have missed important and potentially intervention-sensitive levers for change if they were not assessed. However, the fact that performance on these mea-

asures does not explain the higher mortality rates suggests that, beyond these processes, there are other aspects of care that are likely contributing to these differences.

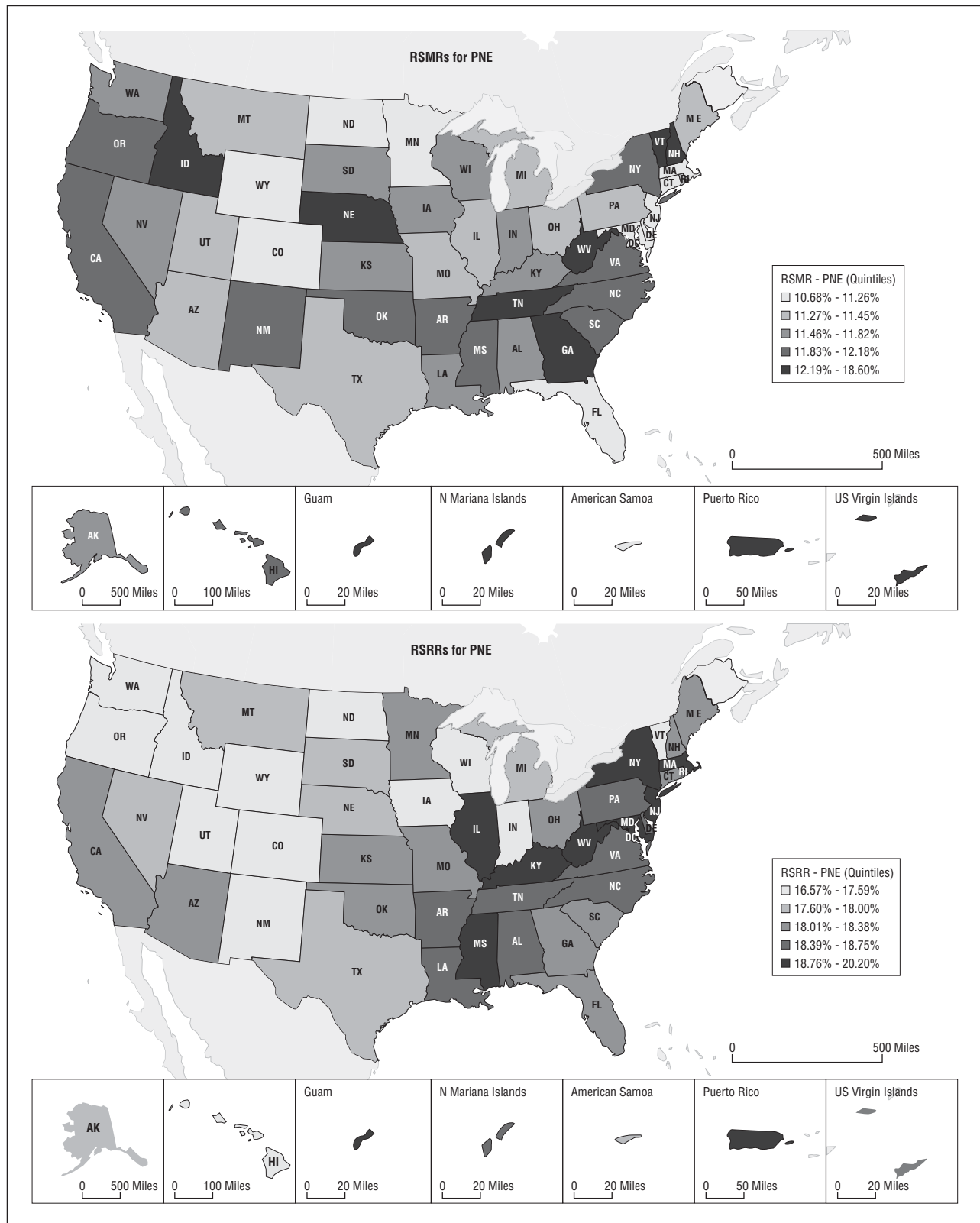


Figure 5. State-level and territorial-level mean RSMRs and RSRRs for fee-for-service Medicare beneficiaries admitted for PNE, presented by performance quintile. Quintiles were determined for each outcome measure. The fifth quintile represents the poorest performing states and/or territories on average; the first quintile, the best performing states and/or territories on average. PNE indicates pneumonia; RSMRs, 30-day risk-standardized rates for all-cause mortality; and RSRRs, 30-day risk-standardized rates for all-cause readmission.

Our study is one of the first to examine quality of care for hospitals located in the US territories; however, there are some limitations to consider when interpreting these

findings. First, being located in a US territory may be a marker for geographic location on an island or unmeasured characteristics such as patient socioeconomic sta-

tus; poverty is much more common in the territories.^{1,6} Although there is evidence that hospitals disproportionately providing care for lower socioeconomic status populations have similar mortality rates to hospitals providing care to higher socioeconomic status populations, this evidence does not include US territories and their corresponding low reimbursements for Medicare.⁸ Second, we examined AMI, HF, and PNE and our results may not be generalizable to other conditions. Still, the existence of high-quality CMS data in these clinical areas represents an opportunity to investigate hospital performance in the territories and establishes the foundation for future work in this area. Third, our measures were based on the experience of patients in Medicare FFS and our results may not extend to younger populations. However, this is an appropriate group to investigate, given the expanding proportion of patients older than 65 years and associated increasing health care costs. Fourth, our outcomes measures were based on models using administrative claims data. We did not have extensive patient-level data for patients in the US states or in the US territories and therefore could not take into account health behaviors, health literacy, or adherence across these populations. However, we assessed acute care processes and short-term outcomes, and comorbid conditions were well captured in our administrative claims data. Although there may be unmeasured patient characteristics in the territorial populations for which we do not account, the statistical models used in the outcome measures produce estimates that are good surrogates for estimates from a medical record model.^{9,11,37} In addition, the mortality measure, which is approved by the National Quality Forum, is designed to convey information about hospital performance and already adjusts for hospital case-mix.^{7,9} We also conducted several secondary analyses to assess whether our findings primarily reflected the experience of Puerto Rico, since it has the largest population of the territories; we found the disparities were consistent across all US territories.

Despite the national effort to address health care disparities through increased public reporting and standardizing hospital performance, hospitals in the US territories have been largely neglected. Improving health care outcomes in the US territories should be included in any comprehensive effort to tackle national racial/ethnic and other health care disparities. The striking disparity revealed in this study demonstrates that people living in the US territories are at a notable disadvantage compared with those in the US states. Importantly, these US possessions are legally restricted from full participation in the shaping of relevant US health care policy. The nation has a great responsibility to guarantee that residents on these islands have access to care that is at least of the same quality as care in the US states.

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Author Contributions: Dr Nunez-Smith had full access to all the data in the study and takes responsibility for the integrity of the data and the accuracy of the data analysis. *Study concept and design:* Nunez-Smith, Bradley, Herrin, Santana, Normand, and Krumholz. *Acquisition of data:* Krumholz. *Analysis and interpretation of data:* Nunez-Smith, Bradley, Herrin, Santana, Curry, Normand, and Krumholz. *Drafting of the manuscript for important intellectual content:* Nunez-Smith, Bradley, Herrin, Santana, Curry, Normand, and Krumholz. *Statistical analysis:* Nunez-Smith, Herrin, and Normand. *Administrative, technical, and material support:* Nunez-Smith. *Study supervision:* Nunez-Smith.

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Correction

Error in Author's Name: In the Letter to the Editor titled "How Efficient Is Procalcitonin-Guided Antibiotic Use in Acute Respiratory Tract Infections in Primary Care?" by Brusius et al, published in the July 13, 2009, issue of the *Archives* (2009;169[13]:1244), an error occurred in an author's name in the signature block on page 1244. The correct name for the third author is Sandra C. Fuchs, MD, PhD.